



IAS Policy for Transition of Accredited Certification Activities for Management System Certification Bodies (MSCB) Certifying Systems According to ISO 9001:2015, ISO 14001:2015, ISO 50001:2011, ISO 50003:2014 and ISO 13485:2016

This policy applies to all IAS-accredited Management System Certification Bodies and is intended to outline recommendations for the transition process to the newest versions of the below listed standards in accordance with the IAF Resolutions.

Note: For information on CBs transition to ISO/IEC 17021-1:2015, please see the IAS website for the [Policy on Transition to ISO/IEC 17021-1:2015](#).

ISO 9001:2015 – Quality management systems – Requirements

Required Date of Transition: **September 15, 2018**

ISO 14001:2015 – Environmental management systems – Requirements with guidance for use

Required Date of Transition: **September 15, 2018**

ISO 50001:2011 – Energy management systems – Requirements with guidance for use

ISO 50003:2014 – Energy management systems – Requirements for bodies providing audit and certification of energy management systems

Required Date of Transition for ISO 50003:2014: **October 14, 2017**

ISO 13485:2016 – Medical devices – Quality management systems – Requirements for regulatory purposes

Required Date of Transition for ISO 13485:2016: **March 1, 2019**

CB Auditor Competence

Auditors and staff shall receive training to familiarize themselves with the new standards. The CB is responsible for verification of this training course's effectiveness.

Note: Training and verification of auditors and staff must be completed prior to performing an audit to the new standard versions.

Communication

Communication during these transition periods will be the key to the CB's successful transfer of their clients and implementation of the new standards for audit practices. CBs are recommended to communicate any concerns and/or questions to the national standard development organizations and/or Accreditation Bodies in their economies. Also, CBs are encouraged to maintain communication with other CBs with respect to sharing transition strategies. Finally, CBs should recommend to their certified clients possible strategies to employ when facilitating this transition and should be encouraging new and existing clients to implement the newest standard versions as soon as possible.

Certification Documentation

CBs are recommended to begin updating their audit certification documentation to reflect the newest standard versions as soon as possible. This includes: checklists, reports and any other technical documentation used during an audit.

Recertification

For those clients already certified by the CB, the CB should provide them with the proposed arrangements for upgrading their certifications to the newest standard versions. These arrangements include: the means by which continued certification will be considered (i.e., single visit, multi stage approach, etc.), a timeframe for when recertification audits need to be scheduled, and any new requirements incumbent upon the client to provide the CB in accordance with the new standard versions. Please keep in mind the relationship between the transition period of the new standards and the recertification cycle used by your organization. It may be helpful to plan the next recertification audit to coincide with the transition period dates for existing clients.

Records of Transitional Activities

The CB shall keep records of any transitional activities, tools and announcements made to their clients. This information will be reviewed at the next on-site assessment of the CB to ensure that the CB is taking adequate steps to inform their clients of the transitional requirements.

Transition Failure

As required by the IAF Resolutions agreed upon by the IAF MLA signatory accreditation bodies, CBs shall have procedures in place to ensure that in the event of a client being unable to complete the transition process within the allotted time period corrective action is initiated and effectively implemented. The consequences for these clients are left up to the CB's discretion, however, no accredited certifications shall be issued to clients in conformance with the previous version of the standard once the transitional period has ended. In order to update a certification to the new standard, the CB's certified client must be in good standing with respect to corrective actions identified at any previous audits before transition can be considered. The CB shall keep records of closure of findings previously identified to ensure the integrity of the transition process.

Availability of Accreditation Documents

All IAS-accredited MSCBs who offer certification to the above mentioned standards are required to obtain a copy of the new international standards which may be purchased from relevant standard bodies, including the [International Code Council Bookstore](#).

Accreditation Criteria

The current IAS Accreditation Criteria for Management System Certification Bodies (AC477) has been revised to include reference to the newly updated standards. AC477 has undergone editorial changes with respect to the new standards as well. Please familiarize yourself with the document dated February 2016, available on the IAS website at: <http://www.iasonline.org/PDF/AC/AC477.pdf> .

Stakeholder and Client Engagement

All on-going communication and updates critical to the successful conclusion of the transition will be posted on the [IAS website](#).

CBs are encouraged to begin this transition process with their clients as soon as possible. If there are any questions, please direct them to IAS Assistant Accreditation Officer, Patrick J. McCullen at pjmccullen@iasonline.org. Alternatively, you may contact Mohan Sabaratnam, IAS MSCB Program Manager, at msabaratnam@iasonline.org.